# Kaplan-Meier

- Kaplan Meier can be used to compare two or more treatment groups on their survival times.
- Duration is measured from a well-defined time origin until the occurrence of some particular event of interest or end-point.
- Kaplan-Meier is the usual technique performed to analyse survival-time data.
- The Kaplan Meier technique is the univariate version of survival analysis.

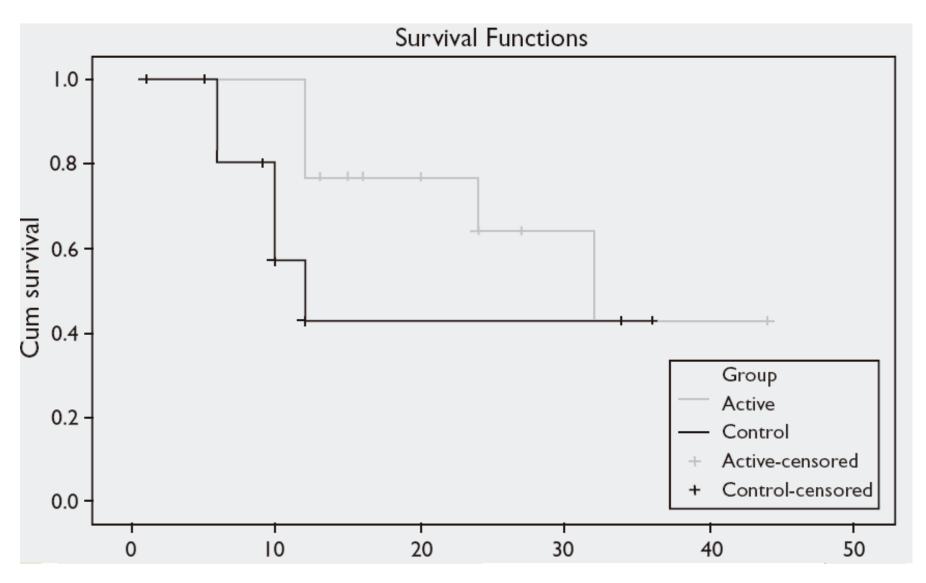
# Example

- 25 lung cancer patients :
- 1#, 5#, 6, 6, 9#, 10, 10, 10#, 12, 12, 12, 12, 12, 12, 12#, 13#, 15#, 16#, 20#, 24, 24#, 27#, 32, 34#, 36#, 36#, 44# months
- variable "Status" tells which case is censored (denoted by 0) and which case is an event (dying of lung-cancer, denoted by 1).

# Sample result

| Factor group           | = control       |                  |                    |                           |
|------------------------|-----------------|------------------|--------------------|---------------------------|
|                        | Survival time   | Standard error   |                    | 5% confidence<br>interval |
| Mean<br>(Limited to 36 | 21              | 5                |                    | (12, 30)                  |
| Median                 | 12              | 2                |                    | (7, 17)                   |
| Factor group           | = active        |                  |                    |                           |
|                        | Survival time   | Standard         | error 9            | 5% confidence<br>interval |
| Mean<br>(Limited to 44 | 31              | 4                |                    | (23, 39)                  |
| Median                 | 32              | 8                |                    | (17, 47)                  |
|                        | Total           | Number of events | Number<br>censored |                           |
| Group contro           | l 12            | 5                | 7                  | 58.33                     |
| Group active           | 13              | 5                | 8                  | 61.54                     |
| Overall                | 25              | 10               | 15                 | 60.00                     |
| Test statistics        | for equality of | f survival dist  | tributions f       | or group                  |
|                        | Statistic       | df               |                    | Significance              |
| Log rank               | 1.77            | ı                |                    | .1835                     |

# Sample Plot



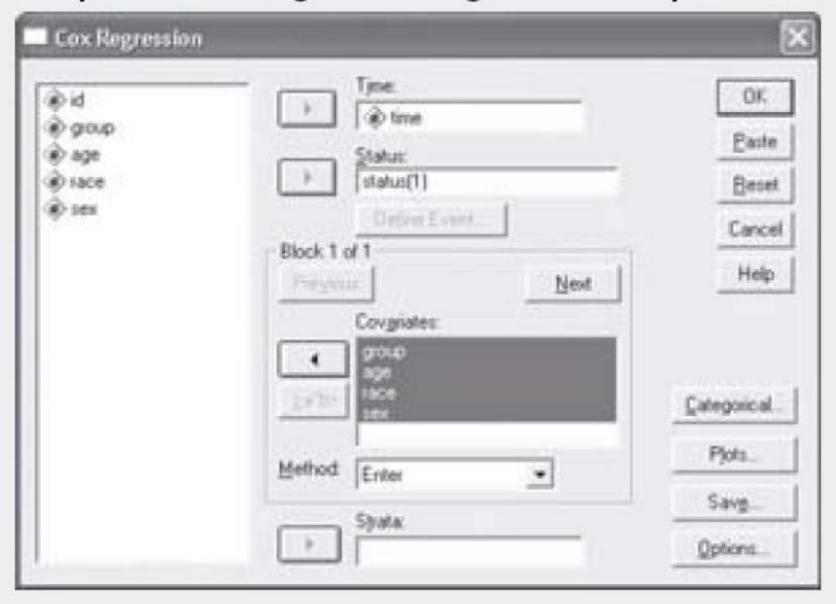
# Cox Regression

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# Cox Regression

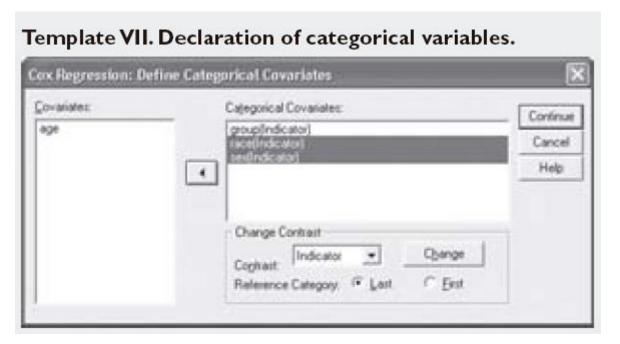
- to look at a confounder model to determine whether the groups differ after adjusting for confounding factors such as differences of demographics.
- To perform a Cox regression, go to
  - Analyse,
  - Survival,
  - Cox regression.

#### Template VI. Cox regression: lung cancer example.



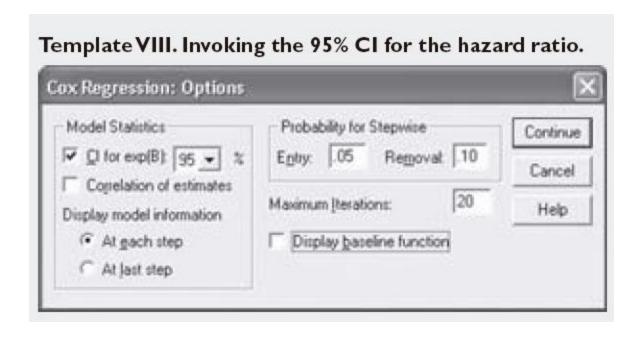
# Categorical Variables

- The declaration for the categorical variables is similar to logistic regression by clicking on the "Categorical" button. The group with the longer survival time would be the reference group.
- In this example, we put group, race and sex as the categorical covariates.



## hazard ratio

 Click on "Options" to invoke the 95% CI for the hazard ratio (HR), given by the expression exp(B)

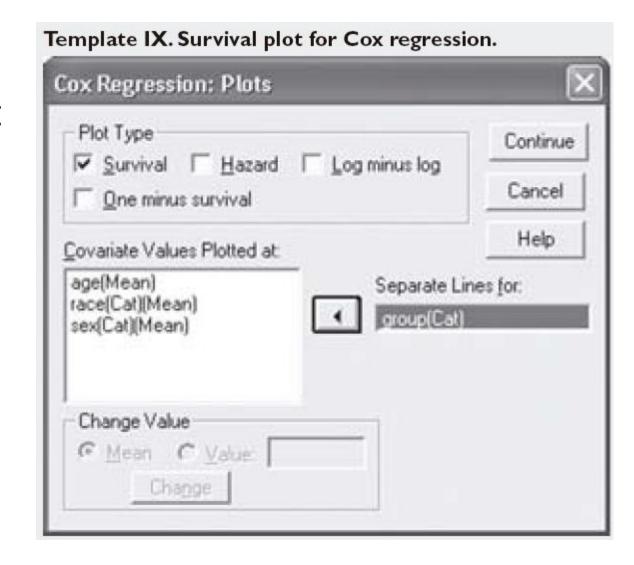


## hazard ratio

- The interpretation for the hazard ratio is similar to that of the odds ratio.
  - A value of one means there is no differences between two groups in having a "shorter time to event".
  - A HR >1 means that the group of interest comparing to the reference group (based on the categorical declaration) likely have a shorter time to event.
  - A HR <1 means that the group of interest less likely to have a shorter time to event.

## **Plots**

- Click on "Plots" to get the following requester.
- Click on "Survival" and choose Separate Lines for "group".



## Results

• The reference category for group is active, race is "other race" and sex is female.

| Table V                      | Table VIa. Categorical definition. |    |     |   |   |  |  |  |
|------------------------------|------------------------------------|----|-----|---|---|--|--|--|
| Categorical variable codings |                                    |    |     |   |   |  |  |  |
| Frequency (I) (2) (3)        |                                    |    |     |   |   |  |  |  |
| Group                        | 1.00=control                       | 12 | 1   |   |   |  |  |  |
|                              | 2.00=active                        | 13 | 0   |   |   |  |  |  |
| Race                         | I=chinese                          | 15 | - 1 | 0 | 0 |  |  |  |
|                              | 2=indian                           | 5  | 0   | 1 | 0 |  |  |  |
|                              | 3=malay                            | 2  | 0   | 0 | 1 |  |  |  |
|                              | 4=other                            | 3  | 0   | 0 | 0 |  |  |  |
| Sex                          | I=male                             | 17 | 1   |   |   |  |  |  |
|                              | 2=female                           | 8  | 0   |   |   |  |  |  |

## Results

- The table gives the p-values (Sig) and the hazard ratios (Exp(B)) of the variables.
- We have to check for multi-collinearity by observing whether the SE of all the variables are small

Table VIb. Estimates of variables in Cox regression.

| Table VID. Esti | Table VID. Escillaces of Variables III Cox regression. |       |       |    |      |        |         |                     |  |  |  |  |
|-----------------|--|-------|-------|----|------|--------|---------|---------------------|--|--|--|--|
|                 | <b>V</b> ariables in the equation                      |       |       |    |      |        |         |                     |  |  |  |  |
|                 |  |       |       |    |      |        | 95.0% C | 95.0% CI for Exp(B) |  |  |  |  |
|                 | В  | SE    | Wald  | df | Sig. | Exp(B) | Lower   | Upper               |  |  |  |  |
| Group           | 1.841  | .911  | 4.086 | 1  | .043 | 6.302  | 1.058   | 37.550              |  |  |  |  |
| Sex             | 3.670  | 1.435 | 6.542 | 1  | .011 | 39.263 | 2.358   | 653.769             |  |  |  |  |
| Age             | .115   | .043  | 7.137 | 1  | .008 | 1.122  | 1.031   | 1.220               |  |  |  |  |
| Race            |  |       | 2.066 | 3  | .559 |        |         |                     |  |  |  |  |
| Race(I)         | 307  | 1.181 | .068  | 1  | .795 | .735   | .073    | 7.448               |  |  |  |  |
| Race(2)         | .983   | 1.299 | .573  | 1  | .449 | 2.672  | .210    | 34.060              |  |  |  |  |
| Race(3)         | .907   | 1.469 | .381  | 1  | .537 | 2.476  | .139    | 44.085              |  |  |  |  |

## Results

- Since adjusting for confounder model, our interest is only in the variable "group".
- p-value for Cox-Regression is 0.043 (statistically significant) compared to the earlier Kaplan Meier analysis (log-rank p=0.1835).
- The HR is 6.302 (95% CI 1.058 37.55), comparing the control with the active, the control is likely to have a shorter time to event and in this example, the event is death.
- Why Cox is different with Kaplan-Meier?

| Table VIb. Estimates of variables |       |      |  |  |  |  |
|-----------------------------------|-------|------|--|--|--|--|
|                                   |       |      |  |  |  |  |
|                                   |       |      |  |  |  |  |
|                                   | В     | Sig. |  |  |  |  |
| Group                             | 1.841 | .043 |  |  |  |  |
| Sex                               | 3.670 | .011 |  |  |  |  |
| Age                               | .115  | .008 |  |  |  |  |
| Race                              |       | .559 |  |  |  |  |
| Race(I)                           | 307   | .795 |  |  |  |  |
| Race(2)                           | .983  | .449 |  |  |  |  |
| Race(3)                           | .907  | .537 |  |  |  |  |

# Why? Confounder?

- Statistical differences noted for gender and age.
- Men and older people were worse off.
- Cross-tab -> more men than women in control group (p = 0.673)
- Mean age is higher in the active group.

#### Table VIc. Cross-tabulation between group and gender.

#### The sex of the patient \* group cross-tabulation

|                |        |                         | Gr           | oup          |              |
|----------------|--------|-------------------------|--------------|--------------|--------------|
|                |        |                         | Control      | Active       | Total        |
| Sex of patient | Male   | Count<br>% within group | 9<br>75.0%   | 8<br>61.5%   | 17<br>68.0%  |
|                | Female | Count<br>% within group | 3<br>25.0%   | 5<br>38.5%   | 8<br>32.0%   |
| Total          |        | Count<br>% within group | 12<br>100.0% | 13<br>100.0% | 25<br>100.0% |

#### Table VId. Age differences between group (p=0.737).

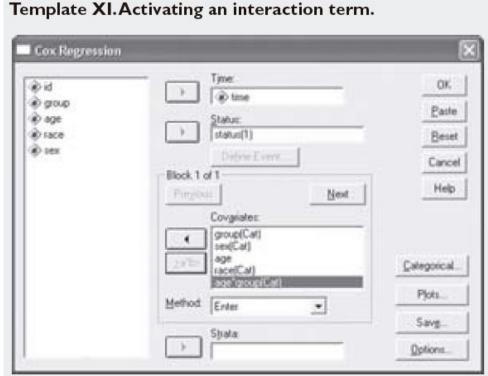
| Group statistics |         |    |         |                |                 |  |  |  |  |
|------------------|---------|----|---------|----------------|-----------------|--|--|--|--|
|                  | Group   | Ν  | Mean    | Std. deviation | Std. error mean |  |  |  |  |
| Age              | active  | 13 | 31.6923 | 16.16263       | 4.48271         |  |  |  |  |
|                  | control | 12 | 29.5833 | 14.73683       | 4.25416         |  |  |  |  |
|                  |         |    |         |                |                 |  |  |  |  |

## Therefore!

- Don't stop at the univariate analysis but to always perform a multivariate analysis to identify the real situation!
- How about interaction between gender and "group", or age and "group"? How to test for it?

## How to test for interaction?

 Within Cox Regression, select both age and "group" at the same time by pressing the "Ctrl" key. The button ">a\*b>" becomes "enabled", so click on it. Repeat the same for gender and "group".



|           | В      | SE    | Wald  | df | Sig. |
|-----------|--------|-------|-------|----|------|
| Group     | -5.524 | 4.891 | 1.276 | 1  | .259 |
| Sex       | 1.687  | 1.716 | .966  | 1  | .326 |
| Age       | .082   | .055  | 2.186 | 1  | .139 |
| Race      |        |       | 3.171 | 3  | .366 |
| Race(I)   | 869    | 1.341 | .420  | 1  | .517 |
| Race(2)   | 1.112  | 1.261 | .777  | 1  | .378 |
| Race(3)   | 1.018  | 1.570 | .421  | 1  | .517 |
| Age*group | .121   | .089  | 1.823 | 1  | .177 |
| Group*sex | 5.584  | 3.261 | 2.933 | 1  | .087 |

 none of the interaction terms are significant. This implies that regardless of age or gender, the active group is performing better.

### Exercise

- Open breast cancer survival dataset from SPSS.
- Variables of interest;
  - age
  - categorical histology grade,
  - oestrogen receptor status,
  - progesterone receptor status,
  - pathological tumour size and
  - lymph node status.
- to determine the predictors for a shorter survival time to death.

# Kaplan-Meier

- Do a Kaplan-Meier analysis for all these variables first;
  - age
  - categorical histology grade,
  - oestrogen receptor status,
  - progesterone receptor status,
  - pathological tumour size and
  - lymph node status.
- Identify which variables are significant and explain the biological plausibility.

# Based on your earlier results, are these coding correct?

|          |            | Frequency | (1) | (2) |
|----------|------------|-----------|-----|-----|
| histgrad | 1=1        | 56        | 0   | 0   |
|          | 2=2        | 352       | I   | 0   |
|          | 3=3        | 252       | 0   | - 1 |
| cr       | 0=negative | 262       | 0   |     |
|          | I=positive | 398       | 1   |     |
| pr       | 0=negative | 299       | 0   |     |
|          | I=positive | 361       | 1   |     |
| pathscat | I=<=2cm    | 457       | 0   | 0   |
|          | 2=2-5cm    | 196       | 1   | 0   |
|          | 3=>5cm     | 7         | 0   | - 1 |
| ln_yesno | 0=no       | 485       | 0   |     |
|          | I=yes      | 175       | 1   |     |

- Reference group;
  - histology grade is grade 1
  - for er, pr and lymph node is negative
  - and tumour size is ≤2cm.
- Do the Cox regression, first without controlling for interaction, then repeat but controlling for interaction.

## Discuss this result

|             | В     | SE    | Wald  | df | Sig. |
|-------------|-------|-------|-------|----|------|
| Age         | 021   | .014  | 2.200 | 1  | .138 |
| histgrad    |       |       | .872  | 2  | .647 |
| histgrad(1) | .778  | 1.036 | .564  | 1  | .453 |
| histgrad(2) | .942  | 1.056 | .796  | 1  | .972 |
| cr          | 022   | .432  | .003  | 1  | .959 |
| pr          | 455   | .422  | 1.159 | 1  | .282 |
| pathscat    |       |       | 6.005 | 2  | .050 |
| pathscat(I) | .638  | .336  | 3.614 | 1  | .057 |
| pathscat(2) | 1.484 | .776  | 3.658 | 1  | .056 |
| ln_yesno    | .724  | .337  | 4.605 | I  | .032 |

#### Variables in the Equation

|             |       |       |       |    |      |        | 95.0% CI | for Exp(B) |
|-------------|-------|-------|-------|----|------|--------|----------|------------|
|             | В     | SE    | Wald  | df | Sig. | Exp(B) | Lower    | Upper      |
| age         | 021   | .014  | 2.200 | 1  | .138 | .980   | .953     | 1.007      |
| pathscat    |       |       | 6.005 | 2  | .050 |        |          |            |
| pathscat(1) | .638  | .336  | 3.614 | 1  | .057 | 1.893  | .980     | 3.657      |
| pathscat(2) | 1.484 | .776  | 3.658 | 1  | .056 | 4.412  | .964     | 20.200     |
| ln_yesno    | .724  | .337  | 4.605 | 1  | .032 | 2.063  | 1.065    | 3.997      |
| histgrad    |       |       | .872  | 2  | .647 |        |          |            |
| histgrad(1) | .778  | 1.036 | .564  | 1  | .453 | 2.177  | .286     | 16.587     |
| histgrad(2) | .942  | 1.056 | .796  | 1  | .372 | 2.564  | .324     | 20.300     |
| er          | .022  | .432  | .003  | 1  | .959 | 1.022  | .438     | 2.385      |
| pr          | .455  | .422  | 1.159 | 1  | .282 | 1.576  | .689     | 3.605      |

## Discussion

- Those with a positive lymph node more likely to have a shorter time to death (HR = 2.06, 95% CI 1.07 - 4.0, p = 0.032). Tumour size is "just off statistical significance".
- Should we conclude that only women with a positive lymph node are at a higher risk?
- What happens if we include a lymph node \* tumor size interaction?

# lymph node \* tumor size interaction

|                      | В      | SE    | Wald  | df | Sig. |
|----------------------|--------|-------|-------|----|------|
| Age                  | 023    | .014  | 2.845 | ı  | .092 |
| histgrad             |        |       | 1.165 | 2  | .559 |
| histgrad(I)          | 1.047  | 1.067 | .962  | 1  | .327 |
| histgrad(2)          | 1.161  | 1.081 | 1.153 | I  | .283 |
| cr                   | 063    | .424  | .022  | 1  | .881 |
| pr                   | 516    | .413  | 1.556 | 1  | .212 |
| pathscat             |        |       | 8.520 | 2  | .014 |
| pathscat(I)          | 179    | .501  | .128  | 1  | .721 |
| pathscat(2)          | 3.100  | 1.102 | 7.904 | 1  | .005 |
| In_yesno             | .006   | .505  | .000  | 1  | .990 |
| In_yesno*pathscat    |        |       | 8.564 | 2  | .014 |
| In_yesno*pathscat(I) | 1.670  | .707  | 5.574 | 1  | .018 |
| In_yesno*pathscat(2) | -1.847 | 1.547 | 1.425 | I  | .233 |

#### Variables in the Equation

|                      |        |       |       |    |      |        | 95.0% CI | for Exp(B) |
|----------------------|--------|-------|-------|----|------|--------|----------|------------|
|                      | В      | SE    | Wald  | df | Sig. | Exp(B) | Lower    | Upper      |
| age                  | 023    | .014  | 2.845 | 1  | .092 | .977   | .951     | 1.004      |
| pathscat             |        |       | 8.520 | 2  | .014 |        |          |            |
| pathscat(1)          | 179    | .501  | .128  | 1  | .721 | .836   | .313     | 2.233      |
| pathscat(2)          | 3.100  | 1.102 | 7.904 | 1  | .005 | 22.189 | 2.557    | 192.566    |
| ln_yesno             | .006   | .505  | .000  | 1  | .990 | 1.006  | .374     | 2.706      |
| histgrad             |        |       | 1.165 | 2  | .559 |        |          |            |
| histgrad(1)          | 1.047  | 1.067 | .962  | 1  | .327 | 2.848  | .352     | 23.068     |
| histgrad(2)          | 1.161  | 1.081 | 1.153 | 1  | .283 | 3.192  | .384     | 26.563     |
| er                   | .063   | .424  | .022  | 1  | .881 | 1.065  | .464     | 2.447      |
| pr                   | .516   | .413  | 1.556 | 1  | .212 | 1.675  | .745     | 3.766      |
| In_yesno*pathscat    |        |       | 8.564 | 2  | .014 |        |          |            |
| In_yesno*pathscat(1) | 1.670  | .707  | 5.574 | 1  | .018 | 5.312  | 1.328    | 21.248     |
| In_yesno*pathscat(2) | -1.847 | 1.547 | 1.425 | 1  | .233 | .158   | .008     | 3.274      |

## lymph node \* tumor size interaction

- lymph node status is no more statistically significant but tumour size and their interaction are.
- Regardless of the lymph node status, subjects with tumour size >5cm are at risk (HR=22.19, 95% CI 2.56 192.57, p=0.005) and for subjects with tumour size 2 5cm, they are at a higher risk if they have a positive lymph node (HR=5.31, 95% CI 1.33 21.25, p=0.018).